

Somaiya Vidyavihar University, Mumbai

**Dr. Shantilal K. Somaiya School of Commerce and Business
Studies**

Faculty of Commerce & Business Studies

Somaiya Vidyavihar University, Mumbai

Admission Manual

PhD Programme

AY 2025-26 onwards

Visit for Further Details: <https://sksc.somaiya.edu/en/phd>

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About Somaiya Vidyavihar University, Mumbai

On 26th August 2019, Somaiya Vidyavihar University, Mumbai became a reality!

We made this milestone after six decades of creating holistic teaching learning experience educational institutes of great repute. Somaiya Vidyavihar University, Mumbai has become a self-finance – the first private University in Mumbai vide the Maharashtra Self-Financed Universities (Establishment and Regulation) Act 2013.

We have a dream to build and support a world-class institution, one that is proudly Indian, and excels in education, research and service. Somaiya Vidyavihar University, Mumbai will be a place where knowledge is preserved, disseminated, and new knowledge is created. It will be global in the reach of its ideas and universal in its service. Somaiya Vidyavihar University, Mumbai is a place where students and faculty can explore “Freedom of Possibilities”, pursue your passion and above all, find yourself.

Our History and Vision

An all-round education must integrate Indian culture, values & morality into the curriculum.

The Somaiya Vidyavihar was founded in 1959 by late Shri K.J. Somaiya (1902-1999). Endowed with a sharp business acumen, a balanced perspective and a social bent of mind, Karamshibhai set up the Somaiya Trust in 1953 for furthering his dream of shaping young minds through quality education. For this purpose, he bought a large area of land at Ghatkopar, then considered to be distant, meagrely populated.

Our Founder, Padmabhushan Shri K. J. Somaiya founded Somaiya Vidyavihar on 9th September 1959. He later founded the Girivanvasi Pragati Mandal, The K J Somaiya Medical Trust, Girivanvasi Education Trust and sister institutions to provide access and opportunity to build a more inclusive society. In the words of Swami Vivekananda, “We want that education by which character is formed, strength of mind is increased, and the intellect expanded, and by which one can stand on one’s own feet.”

In six decades it has grown into a large educational complex with 34 institutions catering to diverse fields of education such as Humanities & Social Sciences, Engineering, Education, Medicine, Management, Dharma Studies, Pure Sciences and Commerce & Business Studies, with more than 39000+ Candidates and 3000+ Faculties and staff on a throbbing 50 acre campus.

With PhD programmes in various faculties, we provide an innovative platform for research aspirants to make a niche of their own to impact society and life.

About Dr. Shantilal K. Somaiya School of Commerce and Business Studies, SVU

A Constituent unit of Somaiya Vidyavihar University, S K Somaiya College established in 2019, is an institution for new-age liberal, and multidisciplinary education. The Governing Body, Somaiya Vidyavihar University has restructured and reorganized the S K Somaiya college in New School as Dr. Shantilal K. Somaiya School of Commerce and Business Studies. The college offers a wide array of professionally oriented undergraduate and postgraduate programmes in the fields of Commerce & Business Studies as well as certificate courses in languages. It offers academic flexibility to students earning their degrees, viz. Honours, Multidisciplinary Majors, Postgraduate and PhD programmes.

Dr. Shantilal K. Somaiya School of Commerce and Business Studies offers PhD programmes in Commerce and Business Studies.

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1. Eligibility criteria for PhD Admission	
Subject to the conditions stipulated in the SVU PhD Regulations, the following candidates are eligible to seek admission to the PhD Programme	
1. Education Qualification	
i.	Master's degree (2 year or 1 year) or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent as per UGC regulations.
ii.	Candidate seeking admission after a 4-year/8-semester bachelor's degree programme (B.Tech / B.E., B. Pharma, MBBS or BDS or BAMS or BHMS or B.Sc (Honours) or Pharma.D / Pharma.D. (Post Baccalaureate)/B.Com Honours /BA Honours) should have a minimum of 75% marks in aggregate or its equivalent as per UGC regulations
iii.	A person whose Master's dissertation has been evaluated, and the viva-voce is pending may be admitted to the PhD Programme but subject to completion of Master's degree before provisional admission to SVU PhD Programmes.
iv.	Candidates possessing a Degree considered equivalent to Master's Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to PhD Programme
2. PhD Entrance Exam	
i.	MUST qualify a passing score of PhD Entrance Examination of SVU . This is a mandatory eligibility criteria for all candidates with exemptions mentioned in Point 2.(ii)
ii.	Exemption Criteria from SVU PhD Entrance Examination are: - Candidates who qualified in UGC - CSIR -NET-JRF/ ICMR-JRF / DBT-JRF (BET)/ INSPIRE/ GPAT/ICAR/JEST/ Qualified/valid GATE score in relevant branches /Prime Minister's Fellowships and those qualified in any of the UGC recognized national or state level eligibility tests with a valid fellowship/scholarship in the related subject. - Candidates with valid GMAT score for last 2 years (1st Jan 2022 to 31st Dec 2024), minimum GMAT score 350 - Any candidates having 5 year of teaching/research experience and have published research paper in SCOPUS; Web of science journal/published patents/grant received from government agencies will be exempted from appearing for the SVU PhD entrance exam but will be required to appear for an interview at the respective departments. The exemption criteria will be applicable ONLY when relevant document are uploaded during application submission. If relevant documents are not submitted, the candidate have to appear for Entrance exam.
Note: However, the candidates who fulfill the above criteria MUST fill the application form as per the schedule displayed on the website.	
3. Other Documents	

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1.	UG Degree or equivalent Mark List
2.	UG Degree certificate
3.	PG Degree or equivalent Mark List
4.	PG Degree or equivalent certificate
5.	AADHAR card
6.	Degree equivalence / eligibility certificate – wherever is applicable
7.	Transfer Certificate and /or Leaving Certificate
8.	Migration certificate
9.	Two colour passport size Photograph
10.	If appearing the PG degree examination – bonafide certificate
11.	If employed, then No Objection Certificate (NOC) from the employer – at the time of provisional admission
4. Important Links	
UGC Notification 2022 (Link)	

2. Categories of Ph D Students	
1.	Candidates with externally funded scholarships/Fellowships; (a full tuition fee waiver will be provided to candidates who join as JRF/SRF under government of INDIA research funded scheme)
2.	Candidates who work in funded projects within the University or in approved research centres which are collaborating with the University.
3.	Jointly guided PhD or Co-supervised PhD with International Universities;
4.	Teaching/work integrated research candidates who are the faculty/employees in pursuit of advancing their academic qualification, recommended by the Head of the Institution and the Academic Advisory Committee. This provision is for those candidates who shall take an undertaking that their routine responsibilities would be duly attended and under no circumstances compromised. The university shall reserve the rights to consider the registration of candidates who do not adhere to these guidelines;
5.	Teaching and Research Associates of the Somaiya Vidyavihar University.
6.	"Somaiya Vidyavihar University Research fellow under Chancellor's Scholarships Programme".
7.	"Any candidates having 5 year of teaching/research experience and have publish research paper in SCOPUS; Web of science journal/published patents/grant received from government agencies will be excepted from appearing for the SVU PhD entrance exam but will be required to appear for an interview at the respective departments"
8.	Candidate is permitted to pursue studies on a part-time basis provided all the conditions stipulated in UGC 2022 regulations are fulfilled.

3. Overview of Steps involved in PhD Programme	
Sr.No.	Steps
PhD Pre-selection Phase	
1.	Advertisement / Call for SVU PhD entrance exam on website /media handles
2.	Acceptance of the applications for PhD entrance examination along with the applications processing fee

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3.	Execution of PhD entrance examination for all PhD programmes
4.	Declaration of PhD entrance examination results
5.	Selection process - Display of list of eligible shortlisted candidates for interview
6.	One- on -one Interviews of shortlisted candidates before an expert panel
7.	Display of selected candidates for provisional admission - Selection process complete
Provisional Admission Phase	
8.	Provisional admission and payment of fees in accounts/admin office of the constituent unit of Somaiya Vidyavihar University, Mumbai.
9.	Orientation and initiation of course work (1 year – 2 semesters)
10.	First semester encompasses research methodology & publication ethics along with subject specific topic. Second semester majorly focuses on building research, technical & soft skills. It includes research activities, lab rotation and research proposal drafting & presentation and its evaluation.
11.	ATKT examination for semester I and II for unsuccessful candidates or for grade improvement
12.	Issue of mark sheets for course work of semester I and II
Allotments & Registrations	
13.	Allotment of the guide at individual constituent unit-level /department (within the first six months of provisional admission)
14.	Topic approval of the thesis work within 2-3 months after Qualifying course work examination
15.	Registration for PhD programme
PhD Phase	
16.	Appointment of Examiners and chairman from Research Committee
17.	Annual Progress Seminars (APS) and Intermediate Progress Seminar (IPS) for the academic year by Doctoral Advisory Committee (DAC)
Submission & defence	
18.	Approval of examiners to present pre-synopsis in one of the APS and IPS
19.	Presentation of pre-synopsis and its approval by the examiners
20.	Submission of thesis to COE office
21.	Sending the thesis to reviewers
22.	Receipt of reviews about thesis from the reviewers
23.	The final defence of the thesis
24.	Submission of the final corrected thesis after defence
25.	Issue of provisional PhD certificate
26.	Issue of PhD certificate
	The steps and the progress evaluation of PhD students by the committee/examiners/experts will be as per the provisions of PhD regulations

4. Pattern and syllabus of SVU PhD Entrance Examination

Paper-1 Qualitative Test – 40 marks

- a) Essay Writing – 20 marks
- b) Comprehension – 20 marks
- (50% choice in selecting questions in paper I)

Paper – 2 Subject Specific Test – 60 marks

- a) Multiple Choice Questions – 20 marks (Attempt 20 out of 30 questions)
- b) Subjective Questions – 40 marks (with 50% Choice)

5. About Course Work

The course work will be for one academic year (two semesters) and out of which the first semester will be full time. It is expected that during the first semester, the student will report to the college/department/section/laboratory for attending the sessions as per Timetable. The student will have to complete a total of 14 credits (semester I) + 5 credits (semester II) = total 19 credits with CGPI as per the PhD regulations to become eligible for the registration to PhD programme.

6. Fee Structure of PhD Program

(This is common across disciplines, all categories of students)

Particulars	@Total Fees per annum (₹)	
	First Year	Second Year Onwards
Tuition Fee	30,000/-	30,000/-
Development Fee	10,000/-	10,000/-
Examination Fee	10,000/-	10,000/-
Caution money Deposit (Refundable)	1,000/-	-----
Library Deposit (Refundable)	2,000/-	-----
Total (₹)	53,000/-	50,000/-
@ If paid provisional admission fee then should be deducted from total fee		
Link for fees payment (Fees will be accepted via online payment gateway only and in no case, it can be paid using any other type of mode of payment and to any office/person)	https://myaccount.somaiya.edu/#/login	

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7. Registration, Synopsis & Ph D Thesis Submission Fees	
Particulars	Amount
Registration fees	5000
Approval of Synopsis of PhD Thesis Topic	5000
PhD Thesis Submission	10000
Total	20,000/-
Note: 1. Registration fees to be paid by the PhD scholars before submitting the application for Registration for Ph D. 2. Synopsis PhD Thesis Submission fees to be paid by the PhD scholars before submission of synopsis.	

8. Payment of fees schedule for Provisional admission and subsequent years of PhD programme			
Program Academic Year	Particulars	Amount in Rupees (₹)	Payment Schedule
First Year	Total fee	53,000/-	Within eight days from the date of receiving the offer letter
Second Year and Onwards	Total fee	50,000/-	Within first week from the commencement of the new Academic Year
Link for fees payment (Fees will be accepted via online payment only and in no case it can be paid using any other mode of payment and to any office/person)		https://myaccount.somaiya.edu/#/login	
Note: Students have to pay the full fees of the program per year till the submission of the thesis			

9. Guidelines to make fee payment in Online Mode
There is a provision of ONLINE PAYMENT of college fees for student's' convenience 24x7 on or before the scheduled due date. Student will get notification from the institute in three ways. 1) SMS 2) Email 3) Notification on myaccount.somaiya.edu portal In the notification there will be a link to make the payment. You just need to click on the link and follow below simple steps to make the payment.

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STEP 1: Link will take you to myaccount.somaiya.edu portal. Use Somaiya SVV Net ID and password to login. Want to know more about myaccount.somaiya.edu click on https://somaiya.edu/media/pdf/SVVNetID_and_Email%20id.pdf

STEP 2: Login, select 'instalments' and click on "Pay Now".

STEP 3: System will redirect to Online Payment Gateway. Fill in the required information and follow payment options to complete the payment cycle.

STEP 4: After the successful payment, the payment receipt will be available at student's MyAccount portal

10. Admission Cancellation policy of PhD programme (All Categories of PhD Students)

If the candidate has accepted the allotted seat by paying the fees and later chooses/decides to withdraw from the programme of study, then cancellation option is available at his/her MyAccount login.

The college shall follow the below system for deduction of fees against the cancellation request for the candidate.

Sr. No.	Point of time when the application for admission cancellation is received by the college	Applicable Deduction
1	15 days or more before the date of commencement of academic term	Rs 5,000/-
2	Less than 15 days before the date of commencement of the academic term	10% of total fees
3	Less than 15 days from the date of commencement of the academic term	20% of total fees
4	On or beyond the 15th day but within six weeks from the date of commencement of the academic term	50% of total fees
5	More than six weeks from the date of commencement of the academic term	100% of total fees

Note:

- Total Fees for the program per year is Rs. 50,000/- for All Categories of PhD Students
- Tentative date of commencement of every academic term will be announced on website.

Typical Sample example for further illustration to know about cancellation charges with reference to the date of commencement of term

Refer the **below example** for clarification of PhD admission cancellation policy

Assume that the academic term commences from **15th July** of a particular academic year. Based on this assumption, the following table illustrates important dates of cancellation policy:

Illustration:

Sr. No.	Point of time when an application for admission cancellation is received by college	Applicable Deduction
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1	Cancellation on or before 30th June (up to 11.59pm)	Rs 5,000/-
2	Any time from 1st July to 14th July (up to 11.59pm)	10% of total fees
3	Any time from 15th July to 28th July (up to 11.59pm)	20% of total fees
4	Any time from 29th July to 25th August (up to 11.59pm)	50% of total fees
5	After 25th August	100% of total fees

11. Process of getting documents submitted return

After verifications of documents, within 7 days, documents will be returned to students.

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Faculty of Commerce and Business Studies

Subject offered

- Commerce and Business studies

About Research Center

“Research is to see what everybody else has seen and to think about what nobody else has taught.”

The Research centre in Commerce and Business studies has been established by the Department of Commerce & Business Studies, Dr. Shantilal K. Somaiya School of Commerce and Business Studies, Somaiya Vidyavihar University. The primary focus of the PhD centre is to provide world class education, experiential learning with well-developed business insights, critical decision making based on innovative research culture which is cultivated for over six decades keeping in touch with the roots. The PhD Research programme of Commerce & Business has started from the academic year 2021-22.

Salient Features

1. Ph.D in Commerce & Business studies includes General management, Commerce and Business areas like Entrepreneurship, Marketing, Organisational behaviour, Leadership, and other related areas.
2. Experiential Research.
3. Optimum mix of methods and domain courses.
4. A culture of sharing research for budding researchers.
5. State of the Art library portal with access to a large repository of research journals, books and other publications.
6. Trained professionals to guide the learners.
7. Training programs on latest analytical tools viz., SPSS & R.
8. Workshops on paper writing skills.

Objective:

The research Centre provides a broad framework to guide scholarly research with the following objectives:

- To create and promote a research culture among the faculty and research students.

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- To identify research areas and specific research topics of relevant academic significance.
- To organize workshops/FDP on research topics and training programmes on research methodology.
- To ensure quality, integrity, and ethics in research.
- To publish research material in appropriate media and to make available such published information to the end users.
- To facilitate the publication of reports submitted by the research scholars.
- To serve as a facilitator providing research guidance to the research students of Dr. Shantilal K. Somaiya School of Commerce and Business Studies.

Vision- To be the top-rated Research centre for Commerce and Business studies in the next five years.

Mission- To Foster the spirit of inquiry in the field of Commerce and Business studies, develop new innovations and breakthroughs with the culmination of theoretical concepts, practical along with sustainable development.

Research process

At Dr. Shantilal K. Somaiya School of Commerce and Business Studies, we believe that research is the cornerstone of knowledge creation and dissemination. In the fast-paced business world, research provides a framework for the refinement of existing business practices. Keeping this in mind, our research process is to "Provide support to inquisitive minds." i.e., to extend requisite support to the faculty, students, and researchers in all aspects in pursuit of their quest for knowledge.

While preparing for the Ph. D. Course Work syllabus, we have kept in mind the paradigm shifts in business pedagogies and management theory and its modern practices at national and international level, updating the reading lists and introduction of practical/fieldwork component and innovations in the instructional methodologies [supplementing the lecture method with group discussions and seminar presentations, use of audio-visual aids, use of computers /internet in research].

Outcome

The student develops applied competencies and practical skills in mastering, interpretation, understanding, and application of Business Management principles, culminating in independent and original scientific work. Qualifying students display competence in the application of related research methodology, and the proper

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written and oral communication of the research process and findings. The thesis would constitute a decided contribution to the knowledge of an insight into the subject discipline, enabling students to reflect on the field of research.

Conclusion

PhD in Commerce and Business Studies is the highest academic degree awarded in the study of Management science. The degree is designed for those seeking academic research and teaching careers as faculty or professors in the study of management at business schools worldwide. It is a great place to launch your research career.

Eligibility at UG/PG Degree	
Branch of study at UG	General Management Marketing Management Organizational Behaviour Entrepreneurship Management
Branch of study at PG	General Management Marketing Management Organizational Behaviour Entrepreneurship Management

Syllabus for Entrance Examination
Unit 1: Financial And Management Accounting Basic Accounting Concepts, Capital and Revenue, Financial Statements, Cost and Management Accounting, Ratio Analysis, Cost of Capital, Capital Structure, Cash Flow Statement, Break Even Analysis. Unit 2 : Financial System Financial Markets- Primary and Secondary Market, Money Market and Capital Market; Financial Regulatory Bodies (RBI, SEBI) ; Financial Institutions- Development banks, Non-Banking Institutions, Digitization of Banking, Internet Banking, Mobile Banking. Financial Services- Insurance, Credit rating, Mutual Fund, Hire purchase, Venture Capital Unit 3: Business Administration Principles of Management, Management Theories and Approaches of Management. Functions of management: Planning, Organizing, Staffing, Controlling, Communication, Leadership, Motivation, Corporate Governance, Corporate Social Responsibilities. Unit4: Marketing Management

Marketing Concept and Tools, Marketing Environment, Consumer Behaviour and Market Segmentation, Targeting and positioning, The Marketing mix, Direct and Online Marketing, Marketing Audit.

Unit 5: Human Resource Management

Meaning, Scope , functions, Challenges and Future of HRM, Human Resource Planning - Objectives, factors affecting HRP, process of HRP, Human Resource Development- Meaning, Objectives and Functions. Performance Appraisal- Concepts , Process, Methods and problems, Career Planning- Concept, Career Stages and Succession planning.

Unit 6: Research Methods

Research - Meaning, Objectives, Research Process, Characteristics of a good research, Research Design and its types, Formulation of research problem, Research Proposal: Elements of a Research Proposal, Drafting a Research Proposal, Evaluating a research proposal, Hypothesis: Qualities of a good hypothesis, types of hypotheses, framing Null Hypothesis & Alternative Hypothesis. Concept of Hypothesis Testing, Sampling, Measurement and data collection, Data Analysis and Interpretation & Report Writing.

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Details of PhD Coordinator

College Code	College / Institute Name	Name of PhD Coordinator	Email ID
31	Dr. Shantilal K. Somaiya School of Commerce and Business Studies	Dr. Sachin Acharekar	sachin@somaiya.edu
Common Email ID			svu.phdcoordinators@somaiya.edu